

22783 U.S. PTO  
031004

PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	<b>88066-8099</b>	Total Pages	<b>73</b>
First Named Inventor or Application Identifier			
<b>Dario Norberto R. CARRARA</b>			
Express Mail Label No.	<b>EV 346 810 630 US</b>		

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO: MAIL STOP PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification Total Pages 58  
(preferred arrangement set forth below)  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed Sponsored R&D  
-Reference to Sequence Listing, a table, or a  
computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 11
5. Oath or Declaration Total Pages 02  
a. ☒ Unexecuted (original or copy)  
b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies.

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Non-publication Request Under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application no. 10/343,570 filed May 19, 2003

Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

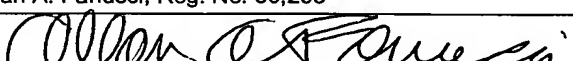
The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

**CUSTOMER NO. 28765**

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

Name (Print/Type)	Allan A. Fanucci, Reg. No. 30,256		
Signature		Date	<u>3-10-04</u>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Mail Stop Patent Application**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Attorney Docket No.: 88066-8099

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Dario Norberto R. CARRARA, Arnaud GREINER, Celine BESSE, Stephen M. SIMES,  
 Leah M. LEHMAN Executed on: Unexecuted

Title of the Invention: FORMULATIONS FOR TRANSDERMAL OR TRANSMUCOSAL  
 APPLICATION


## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	26	-20	6	\$18.00	\$ 108.00
Independent	3	-3	0	\$86.00	\$ 0.00
Minimum Fee					\$ 770.00
Multiple Dependency Fee If Applicable (\$290.00)					\$ 0.00
<b>Total</b>					\$ 878.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$ 0.00
<b>TOTAL FILING FEE</b>					\$ 878.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of Provisional application no. 60/453,604, and 60/510,613, filed March 11, 2003, and October 10, 2003, respectively, is claimed under 35 U.S.C. § 119.
- ☐ The certified priority document has been filed in application no. \* filed \*.
- ☒ Please charge the required fees to Winston & Strawn LLP Deposit Account No. 50-1814. A copy of this sheet is attached.

Respectfully submitted,

3-10-04  
 Date

  
 Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN LLP**  
 Customer No. 28765

202-371-5904

EXPRESS MAIL LIST

To:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on March 10, 2004

Express Mail No. <b>EV 346 810 630 US</b>		
Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on <b>March 10, 2004</b>
88066-8099	<b>TBA</b>	Utility Patent Application Transmittal; Specification 58 pages including 26 claims; Formal Drawings Figs. 1-5C (11 sheets); Information Disclosure Statement, PTO 1449, 6 refs.; Application Fee Sheet (in duplicate); Unexecuted Declaration

*Please acknowledge receipt of these items as received by returning  
the enclosed postcards with the date of receipt of March 10, 2004*

NY:846497.7